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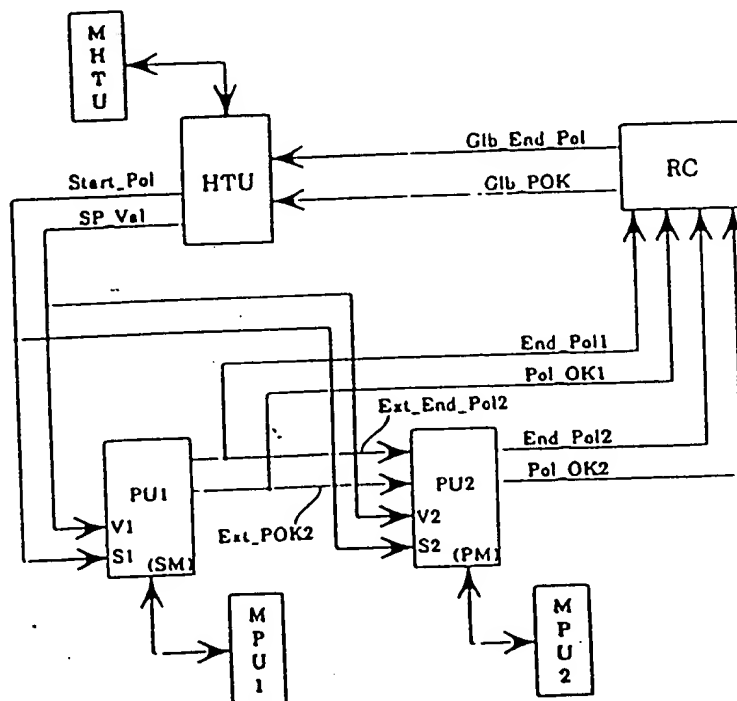
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(54) Title: DISPOSITION OF A PLURALITY OF POLICING UNITS FOR THE POLICING OF CELL FLOW TRAFFIC PARAMETERS IN AN ATM SWITCHING NETWORK, AND RELATING METHOD

(57) Abstract

Arrangement and method for the controlling of the traffic parameters of the ATM cell flow according to the so-called "Leaky Bucket" principle in an exchange termination (ET) including a header processing and translation unit (HTU). A plurality of policing units (PU1, PU2, PU3, PU4), for the control of the peak (Rp) and mean (Rm) bit rate by discriminating also among the cells pertaining to the higher priority flow (CLP=0) and the one pertaining to the lower priority flow (CLP=1), are provided. The units are activated in parallel by the said header processing and translation unit (HTU) and connected to a combinatorial network (RC). In the case of violation of the negotiated parameters, the higher priority cell may be declassified and treated like the other lower priority ones, or may be discarded. In the units, the updating is performed in the next controlling cycle of the cell relating to the same virtual channel/virtual path.



DISPOSITION OF A PLURALITY OF POLICING UNITS FOR THE
POLICING OF CELL FLOW TRAFFIC PARAMETERS IN AN ATM
SWITCHING NETWORK, AND RELATING METHOD

5 This invention relates to a telecommunication systems using
a special numerical technique, called ATM (Asynchronous
Transfer Mode), for the transmission and/or the switching
communication of vocal and video signals and data.
The ATM technique is considered as the more profitable
10 solution for the multiplexing and switching of the public
communication networks towards the future Broad band
Integrated Services Digital Network(B-ISDN).
In the ATM technique the information relating to the
various users and services are organized as contiguous
15 units having a fixed length of about 400 bits, called
cells. The cell bits are subdivided into a field containing
the information to be exchanged (planeload) and into a
heading field (header) containing the information necessary
to route (to address)the cells through the switching
20 network along with other service information.
The cells are received by line interfaces at the input of a
switching node essentially consisting of a controlling part
and a structure performing the switching of the cells.
During the call set up phase, a negotiation occurs between
25 the user and the network operator, during which one or more
traffic parameters of the connection (VC/VP or virtual
channel/virtual path) are negotiated.
According to these parameters the network operator performs
an allocation of the network resources to the call in
30 question, so to guarantee the quality of service (QoS)
contracted with the user. This latter, on his own behalf,
undertakes to comply, for the duration of the call, the
parameters negotiated in the call set up phase.
To protect the network from the possibility of any
35 malfunctions whatsoever or from behaviours of the user not
complying with what has been negotiated, at the input of

the network a controlling of the usage parameters or UPC (Usage Parameter Control), called "policing", is carried on. This is performed by taking under control the above mentioned parameters for the whole duration of the call and
5 by intervening discarding the cells in excess if it is necessary so to avoid congestion situations which shall result in the degradation of the network performances in terms of QoS offered to the users.

In order to eliminate the cells in excess according to
10 criteria which take into account the traffic negotiated between the network operator and each user, a control method called "Leaky Bucket", has been proposed.

This method, specified in principle in Fig. 1, may be conceptually considered as consisting of a counter of the
15 input cells (with a given header) which is increased at each cell input and decreased periodically by a clock signal.

The counter output is connected to a comparator that receives on the other input a reference threshold value,
20 which may be modified, and supplies the output with a logic control signal to a switch SW.

As long as the value of the counter is lower than a given threshold value, the cells are accepted, that is, they are routed (addressed) to the network. When the content of the
25 counter exceeds the threshold value, the cell is discarded since the user has sent a number of cells higher than the one agreed upon.

In Fig. 1, CN contains the filling value of the Leaky Bucket which is increased by 1 at each arrival of the cell
30 pertaining to the VC/VP; CK is the block controlling the CN decrease at a frequency determined on the basis of the agreed parameters; TH is the threshold value, even this one, determined on the basis of the said parameters; CP is the comparator the output of which controls the switch SW.

35 A policing or controlling unit based upon this principle is described as an instance in the patent application nr.

PCT/EP92/01559 under the name of the same applicant with the title "Method and unit for the control of the cell flow in a telecommunication system according to the ATM technique " to which reference is made for further details.

5 For what concerns the allocation of the network resources, a special attention has to be paid to the band (bit rate) required by the services using the network. From this point of view difference is made between constant bit rate services (CBR) and variable bit rate services (VBR).

10 CBR services may be fully identified by a single parameter, that is, the bit rate agreed for the service during the call set up phase and for this type of services, in order to guarantee that the cell flow does not exceed the agreed bit rate, it is sufficient to use only one policing unit in
15 particular working according to the already mentioned principle of the Leaky Bucket (LB), in which the parameters (LB decrease frequency and threshold value, respectively) are defined on the basis of the agreed bit rate.

On the contrary, the VBR services are characterized by more
20 than one traffic parameter so that it is necessary to contemporaneously perform the controlling or policing operation on more parameters of a same VC/VP, by using more policing units. In particular, the control of the following parameters has been proposed:

25 - peak bit rate (R_p), measured over a short period of time (T_s);

- mean bit rate (R_m), measured over a longer period of time (T_l).

Moreover, many VBR services may require a minimum
30 guaranteed capacity, for instance in the case of vocal or video signals the intelligibility of which may be compromised unacceptably. Under network congestion, the network needs to know which cells can be discarded without violating the negotiated QoS.

35 Some VBR services will benefit (in terms of QoS) if the user, or the service provider, is allowed to select which

cells, in the data flow, have the higher loss sensitivity. To these purposes, one of the ATM cell heading bits, indicated with CLP (cell loss priority), is used as an explicit priority indication. This bit may be placed at 1 by the user, or by the service provider, to indicate the low priority cells, then subjected to be discarded when the network is congested.

According to this convention, therefore, the cells having the CLP bit equal to 0 have then the highest priority because in the network the capacity they required has been allocated in a deterministic way.

The above specified mechanism of the loss priority is not used for the CBR services, for those all the cells have then CLP=0.

Since to the high priority cell flow the network resources necessary to guarantee the negotiated QoS are reserved in a deterministic way, their bit rate has to be negotiated during the call set up and has to be kept under control by the network, in order to protect the QoS of other users. Therefore, the network will monitor the negotiated rate of higher priority cells and cells arriving at the network in excess of this rate will be discarded (or their priority may be decreased, by setting at 1 the CLP bit) .

More generally, for a VBR link, may be contracted two values for each traffic parameter, one for the high priority flow and one for the low priority one.

Then, in the case of VBR services it is necessary to use, for each parameter to be controlled, a Leaky Bucket (LB) unit, because each parameter of the (VC/VP) requires a decreasing frequency and a threshold value dedicated to it and consequently a value of its own, the LB filling level.

In particular, it may be necessary to use up to four units at the same time, to control the R_p and the R_m of the high priority flow, (R_{p0} and R_{m0} , respectively) and the R_p and the R_m of the low priority flow (R_{p1} and R_{m1} , respectively).

However, the decision about the compliance of the behaviour of the user with what has been negotiated and the corresponding action on the cell (discarding of the cell or lowering of the priority) are defined by evaluating the indications given by all the Leaky Bucket devices.

In the literature many utilization schemes of more than one unit for the control of the traffic parameters of a same connection have been proposed; however, the most reliable of them foresee the application in series of the policing algorithms to the cell flow, as schematically shown in Fig. 2 showing two policing units connected with one another in series.

Nevertheless, the activation in series of more than one policing unit may be a problem, because the global response time is given by the sum of the response times of the single units. This activating procedure of the policing units represents a significant disadvantage considering the short time in which it is necessary, or anyhow useful, to have the global response at one's disposal and the more this is true, the greater is the number of the units which should operate in series.

Therefore, it is one of the purposes of this invention to realize a control disposition of the above indicated type which overcomes such difficulties, in particular for what concerns the variable bit rate (or bandwidth) services.

The invention consists of a disposition for the policing of the traffic parameters of the ATM connections cell flow according to the so called "Leaky Bucket" principle in a telecommunication apparatus incorporating a processing unit of the cell header and more controlling or policing units, characterized by the fact that they include:

- a first and a second controlling (policing) unit, able to control the peak bit rate and the mean bit rate, respectively, having the activation input connected in parallel to the said header processing unit;

combinatorial network connected to the outputs of

the said policing units, the outputs of the said combinatorial network being connected to the said header processing unit.

Moreover, the invention consists of a method for the
5 policing of the traffic parameters of the cell flow of the connections activated at the same time on an ATM link according to the so called "Leaky Bucket" principle into an exchange termination including one header processing unit and at least two units for the policing of the respect of
10 the negotiated values of such parameters, each unit including a counter for each flow to be controlled, characterized by the fact to include the following operating phases:

- to release at the same time the said controlling or
15 policing units by means of the said header processing unit to analyze at least one ATM cell flow traffic parameter;

- to generate, for each of the said policing units, a compliance signal obtained with the coding of the outputs of the said units, or of the field, if any, identifying the
20 cell priority, the said compliance signal being active in presence of a possible violation of at least one prefixed traffic parameter;

- to update the content of the said counter of each policing unit at the moment of the next analysis relating
25 to one cell pertaining to the same VC/VP without increasing the value of the said counter, in case the said compliance signal is active for the policing unit.

Additional advantageous characteristics will result by the depending claims.

30 The invention allows to obtain the control of cells with or without a priority indication, as well as the possible declassing of the higher priority cells to lower priority cells.

The invention will be described with reference to preferred
35 but not limiting executive forms, shown with the aid of the enclosed figures, in which:

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25 to one cell pertaining to the same VC/VP without increasing the value of the said counter, in case the said compliance signal is active for the policing unit.

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The invention will be described with reference to preferred
35 but not limiting executive forms, shown with the aid of the enclosed figures, in which:

Fig. 1, already discussed, shows schematically the operation principle called Leaky Bucket;

Fig. 2, shows schematically a principle configuration known in itself with Leaky Bucket units connected in series;

Figs. 3 and 4, show principle diagrams of dispositions adapt to allow the policing of the higher priority cells as well as the lower priority ones;

Fig. 5, shows a first executive form of the invention corresponding to the principle diagram of Fig. 2;

Fig. 6a, is a timing diagram relating to the diagram of Fig. 5;

Fig. 6b, shows in detail the combinatorial network RC of Fig. 5;

Fig. 7, shows a second executive form of the invention, corresponding to the principle diagram of Fig. 3;

Fig. 8, shows a third executive form of the invention, corresponding to the principle diagram of Fig. 4; and

Fig. 9, shows in detail the combinatorial network RC2 of Fig. 8;

Fig. 10, is an explanatory block diagram useful to illustrate the method for the policing of the traffic parameters according to this invention.

A first executive form of the invention, fit to control the Rp and Rm parameters, is shown in Fig. 5, which shows only the header processing and translation unit HTU and the associated memory MHTU being part of a generical exchange termination (ET) of a switching node.

The policing units used are basically some Leaky Bucket devices with two pairs of activation inputs and a pair of outputs. The unit may be pre-set to operate separately or in single mode (SM) in which case it is activated only a pair of inputs, or in association with other units (parallel mode or PM) and in this case also the second pair of inputs may be activated (by the outputs of one or more

of the associated units), with the outputs depending on the two pairs of inputs.

The disposition includes two policing units indicated with PU1 and PU2 controlling the peak bit rate (R_p and the mean one R_m , respectively both of them having the activation inputs S_1 , V_1 , S_2 , V_2 connected in parallel to the header processing and translation unit HTU from which they receive the Start-Pol and SP-Val signals. To each PU1 and PU2 unit also a memory indicated with MP1 and MP2, respectively, and containing the current parameters for the running of the "Leaky Bucket" policing method is associated.

PU1 unit controlling R_p is programmed to operate in Single Mode (SM), in that it does not take into account the indication supplied by the PU controlling the R_m .

On the contrary, the latter is programmed to operate in parallel Mode (PM), in order to take into account the indication supplied by the PU controlling the R_p .

The PU unit, contrary to what shown in the diagram of Fig. 2 are activated at the same time and then they found themselves to operate in parallel in order to meet the requirement relating to the response time at the HTU, which foresees that the global indication of acceptability of the cell reached the HTU within a time shorter than the duration of the ATM cell (i.e. 2,73 microseconds for a 155.520 Mbit/s link).

In this way, each PU unit has at its disposal a time equal to the duration of the ATM cell, apart from the presence of other PUs or similar units, controlling other parameters of the same link.

As it will be hereunder shown in detail this is possible because the single PU units are designed to operate in Single Mode (SM) or in Parallel Mode (PM), for being activated and to operate at the same time, even by implementing any possible configuration of more Leaky Bucket type devices, including the serial and parallel ones, provided that the PUs are properly connected each

other and these are properly connected to the HTU, by means of elementary logic gates external to the components.

The two outputs of both PU1 and PU2 units, indicated with End-Pol1, Pol-OK1 and End-Pol2 Pol-OK2 signals,

5 respectively, are connected to the inputs of a combinatorial network RC producing two output signals included in the 4-phases handshake protocol to the HTU. More precisely, Glb-POK is the global signal indicating whether a violation has been detected by at least one of
10 the PU units while Glb-End-Pol is the global signal validating Glb-POK. If the HTU finds $Glb-POK=0$, in correspondence to the rising edge of Glb-End-Pol, the cell is discarded.

The principle diagram of the disposition may be represented
15 by the series configuration shown in Fig. 2, by means two Leaky Bucket type devices indicated with Rp CONTROL and Rm CONTROL.

Pol-OK1 and End-Pol output signals from unit PU1, besides towards the combinatorial network RC, are send at PU2, so
20 that it may take into account the operation of the violations detected by PU1.

Glb-POK is obtained as a logic product (AND) of the Pol-OK1 a Pol-OK2, coming from PU1 and PU2.

Glb-End-Pol is obtained in a more complex way; it has to go
25 to 1 in correspondence with the End-Pol signal which rises last (End-Pol2 in the case shown in Fig. 6a), and it must come back to 0 in correspondence with the End-Pol signal which falls last. This behaviour of Glb-End-Pol is not connected to the handshake implementation protocol towards
30 the HTU, but it is necessary for the proper operation of the PU or, more generally, of the PUs operating in Parallel Mode. In Fig. 6b is shown an executive example of the combinatorial circuit supplying the Glb-End-Pol with the above mentioned progress, starting from End-Pol1 and End-
35 Pol2. This circuit includes three NAND A1, A2, A3 and one OR O1 gates.

With this disposition in which the unit responsible for the control of the Peak bit rate R_p precedes the one controlling the mean bit rate R_m , the possibility that the user may suffer beyond measure the discarding of a cell for its having violated the negotiated R_p is avoided, in that the unit controlling the R_m is not increased, even it operates contemporaneously to the one controlling R_p .

In the case in which, the cell is discarded for having violated the negotiated R_m , the unit relating to R_p is anyhow increased, since a feedback for the downstream unit has not been foreseen. Nevertheless, in practice, this is not a problem, in that the requirements relating to the respect of R_p are much more limiting than the ones relating to R_m .

The total flow of the cells pertaining to variable bit rate VC/VP may be seen subdivided into two sub-flows, consisting of higher priority cells ($CLP=0$) and of the lower priority ones ($CLP=1$), respectively, pertaining to the same VC/VP. The policing operation on such connections may require that the traffic parameters control is performed separately on the two sub-flows, for which then, traffic parameters differing from one another may be contracted. Fig. 3 shows a principle diagram allowing to obtain this.

As you can see, the higher priority cells sub-flow (HPS) and the lower priority one (LPS) reach units separate for what concerns the R_p as well R_m control, indicated with R_{p0} CONTROL and R_{m0} CONTROL for the control of the higher priority sub-flow and R_{p1} CONTROL and R_{m1} CONTROL for the control of the lower priority sub-flow, respectively. When one of these units detects a cell not respecting the contracted parameters, that cell is discarded.

Fig. 7 shows the executive form according the invention of the principle diagram illustrated in Fig. 3 and able to perform the policing of the four parameters R_{p0} CONTROL, R_{m0} CONTROL, R_{p1} CONTROL and R_{m1} CONTROL, discarding the cell violating one of these parameters on the DISCARDED

CELLS outputs. According to this executive form only two PU policing units are used, instead of the four shown in Fig. 3, thanks to the fact that, at most a cell must overcome the policing action of only two PU units.

5 In particular, PU1 unit controls separately the peak bit rate R_p of the higher priority sub-flow (R_{p0}) as well as the lower priority one (R_{p1}), and PU2 controls the respective mean bit rates (R_{m0} and R_{m1}). Even in this case, the two units (PU1 and PU2) controlling R_p and R_m are
10 activated at the same time by HTU, by means of the Start-Pol and SP-Val signals every time that a cell arrives, and this happens irrespectively of its priority. Furthermore, this disposition foresees that HTU supply PU1 and PU2 units with the indication relating to the priority of the cell
15 under examination; this may be obtained by making available for those units the CLP value contained in the ATM cell header, indicated in the figure with CLP-F. This value is used by the two PU1 and PU2 units, in addition to the connection identifier to which the cell pertains (not shown
20 in the figure), to address the parameters necessary for the performance of the algorithm, so that CLP-F is made available in correspondence with the value of such identifier.

In the configuration of Fig. 7, similarly to what shown in
25 Fig. 5, the R_p policing unit is programmed to operate in Single Mode (SM), while the R_m policing unit is programmed to operate in Parallel Mode (PM).

Also in this case, Glb-POK is the global signal indicating whether a violation has been detected at least by one PU,
30 while Glb-End-Pol is the global signal validating Glb-POK. Both these signals come from the RC combinatorial network and are part of a 4-phases handshake protocol towards HTU. Glb-POK is obtained as a logic product (AND) of the Pol-OK1 and Pol-OK2 signals, coming from PU1 and PU2. Glb-End-Pol
35 has the shape shown in Fig. 6a, obtained starting from End-Pol1 and End-Pol2, as said before.

Also in this case, if the HTU finds $Glb-POK=0$, in correspondence with the $Glb-End-Pol$ rising edge, the cell is discarded.

The $Pol-OK1$ and $Pol-OK2$ signals coming from $PU1$, are sent to the combinatorial network RC as well as towards $PU2$ input, so that, in its operation, it may take into account the violations detected by $PU1$.

In the case in which the policing policy of cells having a different priority foresees not to discard in advance the cells pertaining to the higher priority sub-flow violating one of the contracted ($Rp0$, $Rm0$) parameters, the principle diagram of Fig. 4 is applied. In this case, unlike the preceding case, if the $Rp0$ CONTROL and $Rm0$ CONTROL units detect a violation, the priority of the cell is decreased, that is, in the cell header is set $CLP=1$ and the cell is subjected to the verification of PU units controlling the lower priority sub-flow ($Rp1$ CONTROL and $Rm1$ CONTROL). Then, the cells pertaining to the sub-flow reaching these units are the ones initially selected as lower priority cells ($CLP=1$) as well as the originally higher priority ones ($CLP=0$) the priority of which, following the detection of a violation by $Rp0$ CONTROL and/or $Rm0$ CONTROL, has been decreased. If a violation by a lower priority cell (by $Rp1$ CONTROL and/or $Rm1$ CONTROL) is detected, the cell is discarded.

Fig. 8, shows a realization form according to the invention of the principle diagram illustrated in Fig. 4 performing the above described function and this allows to control the Rp and Rm parameters of the high priority sub-flows ($Rp0$ CONTROL and $Rm0$ CONTROL units) and of the low priority sub-flows ($Rp1$ CONTROL and $Rm1$ CONTROL units) and to perform a decreasing of the priority of those higher priority cells which violate the parameter contracted for that sub-flow through an issuing on $RE-PRIORITIZED CELLS$ output.

In this disposition four units, that is, $PU1$, $PU2$, $PU3$, $PU4$ and a slightly different circuit configuration are actually

foreseen. Nevertheless, for the same or similar components, the same references will be used, and in particular the combinatorial network is generally hereinafter referred to as RC, even if it is shown in Fig. 8 in the form of two separate blocks, RC1 and RC2.

In this case, if the cell is a lower priority cell (CLP=0) the HTU activates at the same time only the two PU1 and PU2 units, by means of the Start-Pol and SP-Val signals.

On the contrary, if the cell is a higher priority cell (CLP=1), in the same time of PU1 and PU2, also PU3 and PU4 units are activated by the HTU.

The two units, PU1 and PU2, are activated by HTU whenever a cell arrives, irrespectively of its priority, so to minimize the response time to HTU, in the case in which the cell in question is originally a high priority cell but it has to be subjected to verification by PU1 and PU2 following to a violation detected by PU3 and/or PU4.

The differentiation in activating the units as a function of CLP bit is obtained by making available outside the HTU the CLP field value, contained in the ATM cell header, indicated with CLP-F in the figure. In this case, that value is made available at the same time of the Start-Pol signal, so that CLP=1 may hide to the PUs in question (PU3 and PU4), the activation of the other PUs. PU3 and PU4 activation signal, indicated in Fig. 8 with Start-Pol-HP, is obtained as an AND logic product through the A1 gate between the NOT value (NOT) of CLP-F as shown in Fig. 9; also in this case, that signal is validated by Sp-Val coming from the HTU. Unlike the preceding case, the HTU shall be able to differentiate between the violation detected by the PUs controlling the high priority flow (PU3 and PU4) and the violation of one of the other PUs. In fact, the violation relating to Rp0 and to Rm0 implies, in itself, the mere modification of the CLP bit from 0 to 1, and not the discarding of the cell, that is instead foreseen according to the indication of a violation coming

from the other PUs (PU1 and PU2). For this reason, the compliance indication for the higher priority flow is sent to an HTU input dedicated to it indicated in the figure with HP-Glb-POK. The compliance indication relating to the other PUs (PU1 and PU2) is supplied to the HTU through the LP-Glb-POK signal.

In the connecting diagram shown in Fig. 8, PU3 controls Rp0 and PU4 controls Rm0, while PU1 and PU2 control Rp1 and Rm1, respectively. PU4, PU2 and PU1 are programmed to operate in Parallel Mode (PM), while PU3 is programmed to operate in Single Mode (SM). In this case, LP-Glb-POK is the signal indicating whether by PU1 or PU2 a violation has been detected, HP-Glb-POK is the signal indicating whether by PU3 or PU4 a violation has been detected and Glb-End-Pol is the signal that validates both of them.

LP-Glb-POK is obtained as a logic product (AND) of Pol-OK1 and Pol-OK2 signals, coming from PU1 and PU2.

HP-Glb-POK is obtained as a logic product (AND) of Pol-OK3 and Pol-OK4 signals, coming from PU3 and PU4.

Glb-End-Pol has the progress already specified and it is obtained starting from End-Pol1, End-Pol2, End-Pol3 and End-Pol4.

The action undertaken by the HTU on the cell depends on the value of LP-Glb-POK, HP-Glb-POK and CLP of the cell under examination, according to what hereunder indicated:

- if the cell has CLP=0 and HP-Glb-POK=1, the cell is accepted irrespectively of the value of LP-Glb-POK;

- if the cell has CLP=0 and HP-Glb-POK=0, the cell is accepted if the result is LP-Glb-POK=1 but its priority is lowered by setting the CLP field to 1, while if the result is LP-Glb-POK=0, the cell is discarded;

- on the contrary, if the cell has CLP=1 and LP-Glb-POK=1, the cell is accepted irrespectively of the value of HP-Glb-POK; finally,

- if the cell has CLP=1 and LP-Glb-POK=0, the cell is discarded irrespectively of the value of HP-Glb-POK.

As it has been said before, the two units PU1 and PU2 are activated by the HTU irrespectively of the priority of the cell. Then, if this cell is a higher priority cell and the units PU3 and PU4 do not detect any violation, the units
5 PU1 and PU2 may have updated the respective values of the Leaky Bucket relating to the lower priority sub-flow, since activated at the same time by PU3 and PU4, in spite of the fact that the cell should not really be subjected to their control. According to the invention, in order to take into
10 account this fact, to PU1 and PU2 units is indicated if the cell under examination is a higher priority cell and if it has not been detected any violation. Further, according to what has been said before about the diagrams relating to the Figs 5 and 7, to PU2 unit is also indicated if any
15 violation has been detected by the PU1 unit.

According to this indication, the PUs take into account the performed increase of the leaky bucket value, due to a cell out of its competence. Instead of foreseeing a feedback in the same cycle oriented to decrease the leaky bucket value
20 in order to return to the correct situation, this action is postponed to the next updating of the same leaky bucket value following to the arrival of a new cell pertaining to the same VC/VP. In this way, the execution time of more than one unit in parallel is not extended in respect to the
25 operation of a single unit.

With reference to the Fig. 8, to Ext-POK1 input of the unit PU1 is sent the signal (HP-G1b-POK), obtained as a logic (AND) product of Pol-OK3 and Pol-OK4 through the gate AND A2 and masked, by means the gate NAND NA1, by the CLP-F NOT
30 value (NOT) by the gate N1, as indicated in Fig. 9; to Ext-POK2 input of PU2 unit is sent the logic product (AND) of the same signal present at Ext-POK1 input with Pol-OK1 signal coming from PU1, by using the gate AND A3. In this way, the unit PU1 finds Ext-POK1=0 when the cell under
35 examination is a higher priority cell (CLP-F=0) and no

PU4, while in any other case it finds $\text{Ext-POK1}=1$, and therefore it may take into account this fact in the next executions of the algorithm on the flow pertaining to the same VC/VP.

5 The PU2 unit finds $\text{Ext-POK2}=0$ when the result is $\text{Ext-POK1}=0$, this fact occurring when the cell under examination is a higher priority cell ($\text{CLP-F}=0$) and no violation ($\text{HP-Glb-POK}=1$) has been detected, since also for PU2 what has been said before for PU1, is applied. Further, as already
10 said, the PU2 unit is informed also about the violations detected by PU1; then, it finds $\text{Ext-POK2}=0$ if the result is $\text{Pol-OK1}=0$, because the cell in question has violated the parameter controlled by PU1 (that is, Rp1). If, at the same time, the result is $\text{Ext-POK1}=1$, this means that the cell
15 has been subjected regularly to the control of PU2, but it has been discarded for the violation detected by PU1. In fact, Ext-POK1 is equal to 1 when the cell under examination is a lower priority cell ($\text{CLP-F}=1$) or when a violation relating to the higher priority sub-flow ($\text{CLP-F}=0$ and $\text{HP-Glb-POK}=0$) has been detected, so that the priority
20 of the cell has been decreased.

Finally, always with reference to Fig. 8, you may note that Pol-OK3 and End-Pol3 signals, coming from PU3, are sent to the combinatorial network RC as well as to the PU4 input,
25 so that this latter, in its operation, may take into account the violations of Rp0 detected by PU3.

Now, the operating modes of the units in Single Mode and in Parallel Mode will be described more in detail.

In Single Mode (SM), whenever PU unit is activated by the
30 HTU to perform the cell policing, it applies the following formula:

$$\text{VLB} = \text{VLB} + \text{INC} - (\text{CLK} - \text{LST}) \cdot \text{DEC} \quad (1)$$

where the variables have the following meanings:

VLB is the filling value of the Leaky Bucket associate
35 with the particular VC/VP;

INC is the value with which the current VLB value is

increased at the arrival of a cell pertaining to the VC/VP;
DEC is the value for which VLB has to be decreased at
each cell time;

CLK is the value of the absolute time;

5 LST is the time value of the last updating of the VLB
value pertaining to the VC/VP, and therefore it is set
equal to CLK when the algorithm is applied to the cell with
positive result.

10 If, by applying the preceding formula, a negative VLB value
results, this is set equal to zero.

VLB is limited also by a threshold value S; if after the
updating VLB is greater than S, the unit signals a
violation and does not update the variables VLB and LST in
the MPU.

15 Since PU controls all the VC/VP which are active at
the same time on the 155.520 Mbit/s link, each VC/VP has
its own set of VLB, INC/DEC and LST variables and the PU
applies the preceding formula by using the variables
relating to the VC/VP to which pertains the cell on which
20 the policing is carried on.

In order to perform the updating of the value of the
LB relating to the VC/VP only in correspondence with the
arrival of a cell pertaining to that VC/VP, the CLK
(global) and LST (relating to each VC/VP) variables are
25 used.

In the case of VC/VP pertaining to irregular narrow
band calls, it may happen that no cell arrives for a period
long enough to allow the global time counter (CLK) to carry
on a complete n-module counting, where with n the counter
30 bit is indicated, that is, the bit number on which CLK
variable is reported. The happening of such a situation
leads to wrong evaluations of the time elapsed between the
arrival of one cell and the next one and determines the
unjustified discarding of some cells.

35 In order to correctly deal with this kind of services
too, irrespectively of the maximum distance

arrivals of the cells pertaining to them, the PU performs a cyclical updating of the VLB and LST variables of each VC/VP, called "refresh", so to take into account the time elapsed by the last updating, whether it is due to the arrival of a VC/VP cell or to a refresh operation.

The updating of the LB value is performed by applying the following formula: $VLB = VLB - (CLK - LST) \cdot DEC$ (2)

To each cell time, in correspondence with the CLK increase, the VLB and LST variables of VC/VP are updated and cyclically the refresh operation is performed on all the VLB and LST variables present in the MPU memory.

The dimensions of CLK and LST limit the maximum number of VC/VPs which may be controlled at the same time by the PU. If you indicate with n the size (the bit number) of such variables, the result is, in fact, that a complete updating of the VLB and LST variables of all the VC/VPs has to be carried on within $2n$ cell times or CLK increases, so that at most the PU may manage $2n-1$ VC/VPs active at the same time on the 155.520 Mbit/s link.

In the Parallel Mode (PM) operation, the PU applies the algorithm by using an additional variable, called VD, which records when a violation is detected by at least one of the other PUs and not by it.

This indication is sent to the PU by using the Ext-POK and Ext-End-Pol inputs. To the Ext-POK input is supplied an information relating to violations detected by other PUs, while to the Ext-End-Pol is supplied the signal which validates this information, in practice the Glb-End-Pol signal, the shape of which is shown in Fig. 6a.

In this way, by the PUs operating in Parallel Mode, the same 4-phases handshake protocol implemented between PU and HTU is used.

The PU evaluates Ext-POK only if it has not detected any violation, that is, only when it supplies the output Pol-OK=1. In this case, if the PU finds Ext-POK=0 in correspondence with the Ext-End-Pol rising edge, it stores

VD=1 in the MPU status field, at the address relating to the VC/VP to which the cell under examination pertains, otherwise, it stores VD=0. Therefore:

if Pol-OK1 = 1 and Ext-POK = 1, the result is VD = 0;

5 if Pol-OK1 = 1 and Ext-POK = 0, the result is VD = 1;

if Pol-OK1 = 0 ExtPOK is not taken into consideration and VD is not modified.

Whenever the PU is activated by the HTU to perform the policing on a cell (Start-Pol=1 in correspondence with the SP-Val rising edge) it updates the LB value (VLB) as a
10 function of the VD value that has been stored in the MPU memory, as follows:

1. with VD=0, the PU applies the formula 1, as in the Single Mode (SM) operation;

15 2. with VD=1, the PU updates VLB without increasing its value of the INC quantity, since it has already performed this increase in correspondence with the arrival of the preceding cell of the same VC/VP, which has been discarded by the HTU following the detection of a violation
20 by one or more PUs, except for this PU. Then, formula 2 is applied, so we may speak of "refresh like" algorithm.

The refresh operation is not influenced by the Single/Parallel Mode operation, so it is performed as previously indicated even in Parallel Mode.

25 For what concerns the use of the dispositions according to the invention in the case of VC/VP relating to CBR services, these may be completely identified by only one parameter, so that it is sufficient to use only one PU unit in order to carry on the policing of all the VC/VPs,
30 relating to CBR services, being present at the same time on the 155.520 Mbit/s link. The unit is used in Single Mode since, during its operation, it has not to take into account other PUs present in the ET, if any.

In Fig. 10, a block diagram illustrating the policing
35 method for the parameters according to the invention is shown

With reference to this Figure, the HTU unit is schematized as including an IN input and an OUT output for the cells, an HP block on the path of the input cells and fit to discriminate the priority (if any), a switch S for the routing or the elimination of the cell and a CTRL control device which supervises the operation of the unit.

The disposition foresees a first controlling or policing unit, indicated with the reference P1, for the lower priority cells, and a second controlling or policing unit, P2, for the higher priority cells H, and a combinatorial network RCG.

The unit P2 is able to control at least one parameter of the higher priority cells, for instance, the peak bit rate, R_p . If it is necessary the unit may also verify other parameters, for instance the mean bit rate, R_m and in this case the P2 unit would correspond to the whole of the units PU3 and PU4 in Fig. 8.

In the same way, the P1 unit is able to control at least one parameter of the lower priority cells, for instance, the peak bit rate, R_p . If it is necessary, the unit may also verify other parameters, for instance the mean bit rate, R_m , and in this case the P1 unit would correspond to the whole of the units PU1 and PU2 in Fig. 8.

The P1 and P2 units are activated in parallel by the control block CTRL and receive at the same time the priority information from the HP block. In the diagram of Fig. 10, the illustrated connections may correspond to two or more physical lines, or in general, they may be realized by means of busses. For instance, the activation of each unit may include two signals, of the same type of the Start-Pol and SP-Val signals in Fig. 8.

The outputs of the two units are connected to the combinatorial network RCG which processes such outputs and produces three types of outputs, one D output controlling the switch S to discard or to address the cell, and the compliance outputs, UP1 and UP2, respectively, for the

units P1 and P2, respectively. In particular and also with reference to the Fig. 8, the value of the compliance signal, Ext-POK2, determines whether or not the policing counter of the policing unit has to be increased during the
5 next execution cycle of the policing algorithm on a cell pertaining to the same VC/VP. When a high priority cell violates the contracted traffic parameters, the signal D indicates to the HTU if that cell may be addressed with a lower priority (CLP=1).

10 In other words, the method for the policing of the traffic parameters according to the invention foresees:

- the contemporaneous enabling of the controlling or policing unit P by means of the HTU header processing unit to analyze at least one traffic parameter of the ATM cell
15 flow;

- the generation of a compliance signal UP for each of the said policing units P obtained by means of the coding RCG of the outputs of the said policing units P;

- the updating of the content of the counter of each
20 policing unit Pat the moment of the next analysis relating to a cell pertaining to the same VC/VP, without increasing the value if the said compliance signal UP has been found to be active for the policing unit P.

It will be understood by those skilled in the art that
25 various modifications and changes may be made to the present invention without departing from the spirit and scope thereof. For example a modification may be made controlling separately the higher priority sub-flow and the aggregated flow obtained adding the higher priority sub-
30 flow HPS to the lower priority sub-flow LPS. In this case in fig. 3 and 4 -- and consequently in fig 7 and 8 - the unit/s PU able to control the peak bit rate Rpl and the mean bit rate Rml of the lower priority sub-flow are connected to the exit of the selecting means.

CLAIMS

1. Disposition of a plurality of policing units for the policing of cell flow traffic parameters in a ATM connections (VC/VP) according to the so called "Leaky Bucket" principle and particularly in a telecommunication apparatus (ET) including a header processing unit (HTU) and several controlling or policing units (PU), characterized by the fact to include:

- at least a first and a second policing unit (PU1, PU2) able to monitor the peak bit rate (Rp) and the mean bit rate (Rm), respectively, having the activation inputs (Start-Pol, SP-Val) connected in parallel to the said header processing unit (HTU).

- a combinatorial network (RC) connected to the outputs (End-Pol, Pol-OK) of the said policing units (PU1, PU2), the output of the said combinatorial network being connected to the said header processing unit (HTU).

2. Disposition according to claim 1, characterized by the fact that the outputs (End-Pol1, Pol-OK1) of the said first unit (PU1) are also connected to the same number of inputs (Ext-End-Pol2, Ext-POK2) of the second policing unit (PU2), the said first unit (PU1) being ready to operate in Single Mode (SM) and the said second unit (PU2) being ready to operate in Parallel Mode (PM), (Fig. 5).

3. Disposition according to claim 2, characterized by the fact that another input of the said policing units (PU1, PU2) is connected to another output (CLP-F) of the said header processing unit (HTU) (Fig. 7) indicating the cell priority.

4. Disposition according to claim 1, characterized by the fact to include in addition:

- a third and a fourth policing unit (PU3, PU4), having the activation inputs (Start-Pol-HP, SP-Val) connected in parallel to the said header processing unit

being activated according to a

priority code (CLP) associated to the cells;

- a combinatorial network (RC) connected to the outputs (End-Pol, Pol-OK) of the said policing units (PU1, PU2, PU3, PU4) and to the said additional output (CLP-F) of the said header processing unit (HTU), the outputs of the said combinatorial network being connected to the said header processing unit (HTU) as well as to the input of the said first and second policing unit (PU1, PU2) (Fig. 8).

5. Disposition according to claim 4, characterized by the fact that the said first unit, second unit and fourth unit (PU1, PU2, PU4) are ready to operate in Parallel Mode (PM) and the said third unit (PU3) is ready to operate in Single Mode (SM); and that the outputs (End-Pol3, Pol-OK3) of the said third unit (PU3) are connected at the same time to the same number of inputs (Ext-End-Pol4, Ext-POL4) of the said fourth unit (PU4) and to the combinatorial network (RC), and that the outputs (End-Pol4, Pol-OK4) of the said fourth unit (PU4) are connected to the said combinatorial network (RC).

6. Method for the policing of the traffic parameters of the cell flow of the connection (VC/VP) active at the same time on an ATM link according to the so called "Leaky Bucket" principle in an exchange termination (ET) including a header processing unit (HTU) and at least two units (PU) for the policing of the respect of the predetermined values of the said parameters, each unit including a counter (CN) for each flow to be controlled, characterized by the fact to include the following operative phases:

- to enable at the same time the said controlling or policing units (PU) by means of the said header processing unit (HTU) to analyze at least one traffic parameter of the ATM cell flow;

- to generate, for each of the said policing units (PU), a compliance signal (Ext-POL) obtained by means of

the coding (RC) of the outputs of the said policing units of the field (CLP) which identifies the

cell priority, the said compliance signal being active in presence of the violation of at least one fixed traffic parameter;

5 - to update the content of the said counter (CN) of each policing unit (PU) at the moment of the next analysis relating to a cell pertaining to the same connection (VC/VP) without increasing the value of the same counter (CN), if the said compliance signal has been found to be active for the policing unit (PU) in question.

10 7. Method according to claim 6, characterized by the fact that the said parameter for one of the policing units is the peak bit rate (R_p).

15 8. Method according to claim 6, characterized by the fact that the said parameter for one of the policing units is the mean bit rate (R_m).

9. Method according to claim 7 or 8, characterized by the fact that one of the said units (PU) monitors the high priority cells.

AMENDED CLAIMS

[received by the International Bureau on 4 June 1993 (04.06.93);
original claims 1, 2 and 6 amended; remaining claims unchanged (3 pages)]

1. Disposition of a plurality of policing units for the policing of cell flow traffic parameters in a ATM connections (VC/VP) according to the so called "Leaky Bucket" principle and particularly in a telecommunication apparatus (ET) including a header processing unit (HTU) and several controlling or policing units (PU), said disposition including

at least a first and a second simultaneously operating policing units (PU1, PU2) able to monitor the peak bit rate (Rp) and the mean bit rate (Rm), respectively, an output of each of said policing units (PU1, PU2) being connected to a combinatorial network (RC) generating a control signal of the cell flow traffic,

characterized in that each of said policing units (PU) has activation input (Start-Pol, SP-Val) connected to the said header processing unit (HTU) and two output lines (End-Pol, Pol-OK) both connected to said combinatorial network (RC), the output lines (End-Pol1, Pol-OK1) of the first policing unit (PU1) being further connected to a same number of inputs (Ext-End-Pol2, Ext-POL2) of said second policing unit (PU2),

and by that said combinatorial network (RC) has two output lines connected to the said header processing unit (HTU), one of such lines carrying a signal (Glb-POL) that is representative of a detection of a violation by at least one of said policing units (PU) while the other of such lines carries a validating signal (Glb-End-Pol).

2. Disposition according to claim 1, characterized in that said policing units (PU) have the same construction and can operate in one of two operating modes, the said first unit (PU1) being set to operate in Single Mode (SM) and said second unit (PU2) being set to operate in Parallel

page 26 missing

and at least two units (PU) for the policing of the respect of the predetermined values of the said parameters, each of said policing unit (PU) including a counter (CN) for each flow to be controlled,

5 said method providing for enabling at the same time said policing units (PU) in order to analyze at least one traffic parameter of the ATM cell flow, and for generating a control signal in response to assessment signals generated by said policing units (PU) upon receiving said
10 cell flow;

characterized in that it further comprises the steps of:

- generating, for each of the said policing units (PU), a compliance signal (Ext-POK) as a combination (RC) of the outputs of the said policing units (PU) and in case
15 of a cell field (CLP) which identifies the cell priority, the said compliance signal being active in presence of the violation of at least one fixed traffic parameter;

- updating the content of the said counter (CN) of each policing unit (PU) at the moment of the next analysis relating to a cell pertaining to the same connection (VC/VP) without increasing the value of the same counter (CN), if the said compliance signal has been found to be
20 active for the policing unit (PU) in question.

7. Method according to claim 6, characterized in that
25 the said parameter for one of the policing units is the peak bit rate (Rp).

8. Method according to claim 6, characterized in that the said parameter for one of the policing units is the mean bit rate (Rm).

30 9. Method according to claim 7 or 8, characterized in that one of the said units (PU) monitors the high priority cells.

STATEMENT UNDER ARTICLE 19(1)

Disposition claim 1 and method claim 6 have been amended to take into account EP 0 416 685 which sets forth some principles of monitoring the flow of an ATM cell stream.

The preamble of new claim 1 (relating to a disposition) includes the presence of at least two parallelly operating policing units each having an output connected to a combinatorial network generating a final control signal as disclosed by EP 0 416 685, whereas the characterizing part recites the connection of the outputs of the first policing unit PU1 to the inputs of the second policing unit PU2 and, as a general rule, a sort of series interconnection of the policing units for simplifying combinatorial network and improving the monitoring.

Method claim 6 has been only slightly amended.

The description will be amended according to the amended claims and EP 0 416 685 specifically mentioned.

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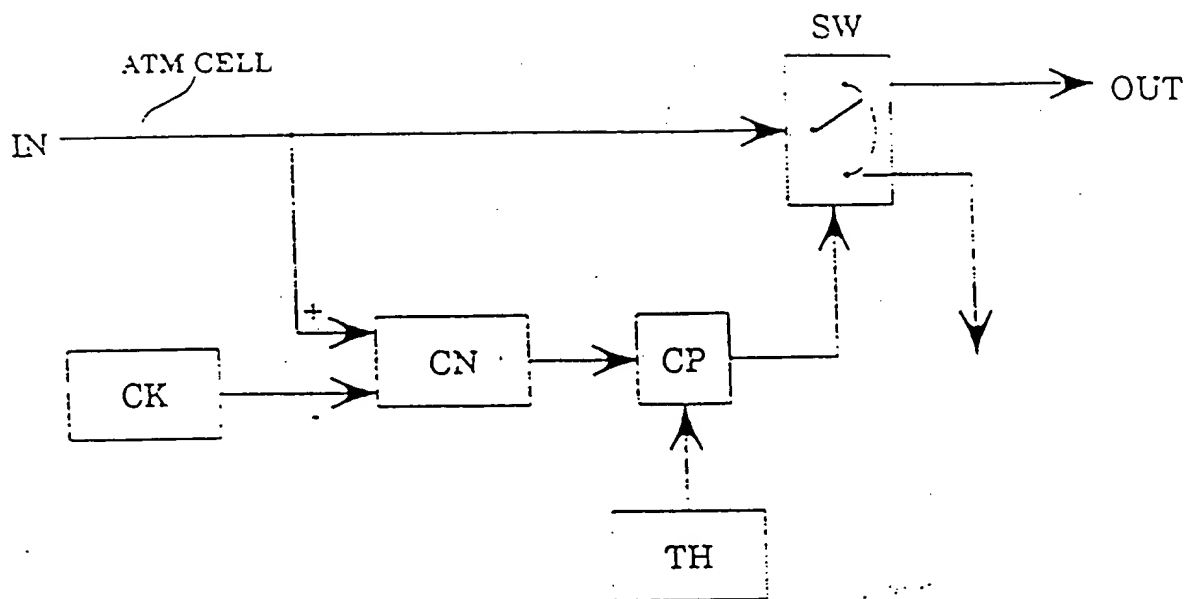


Fig. 1

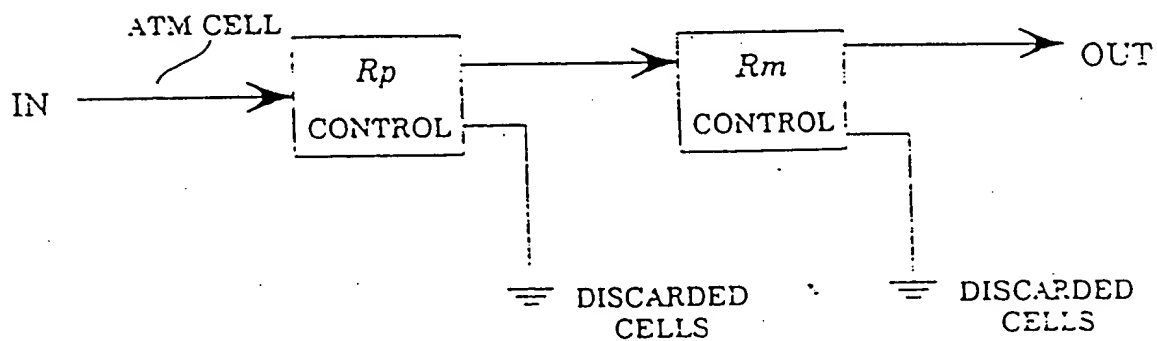


Fig. 2

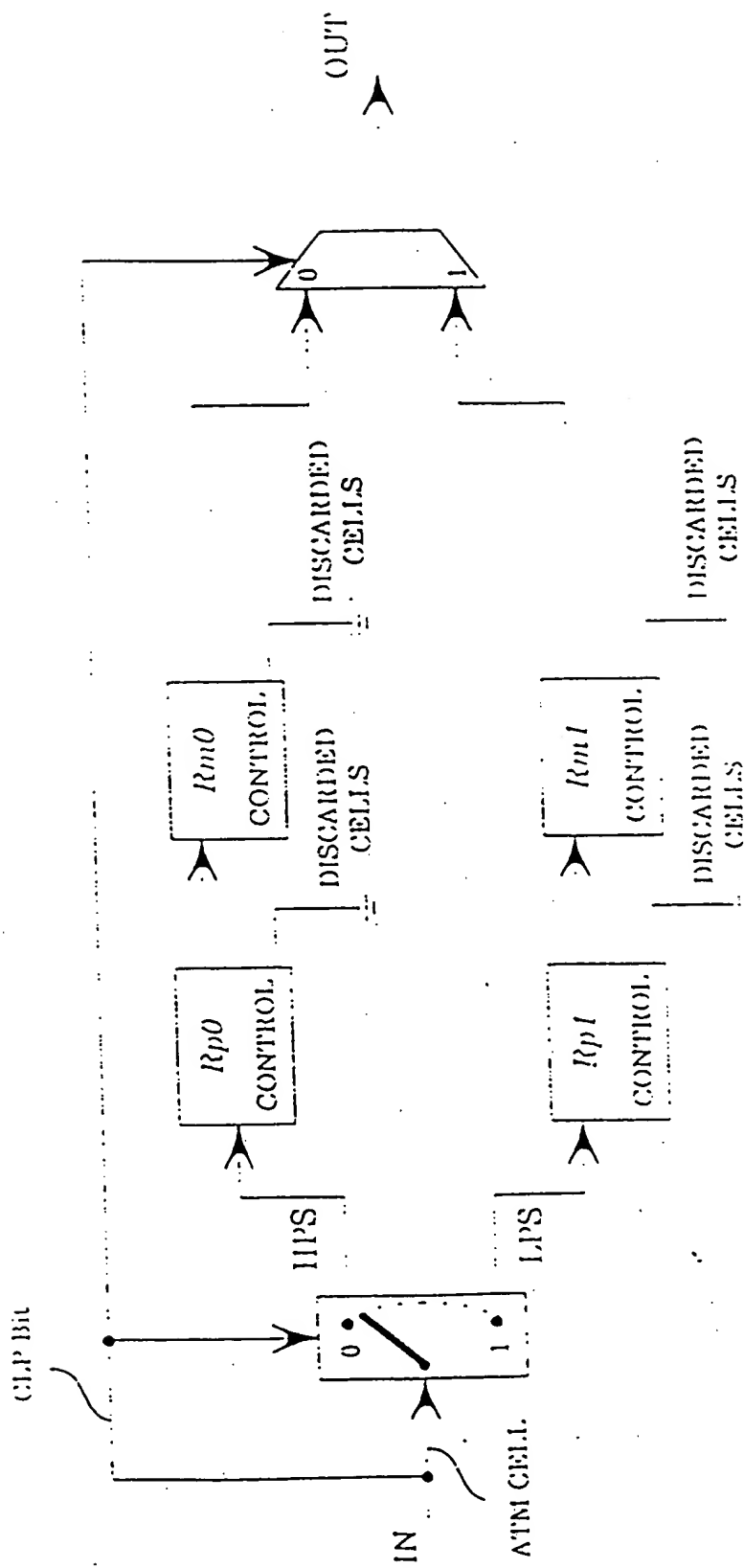


Fig. 3

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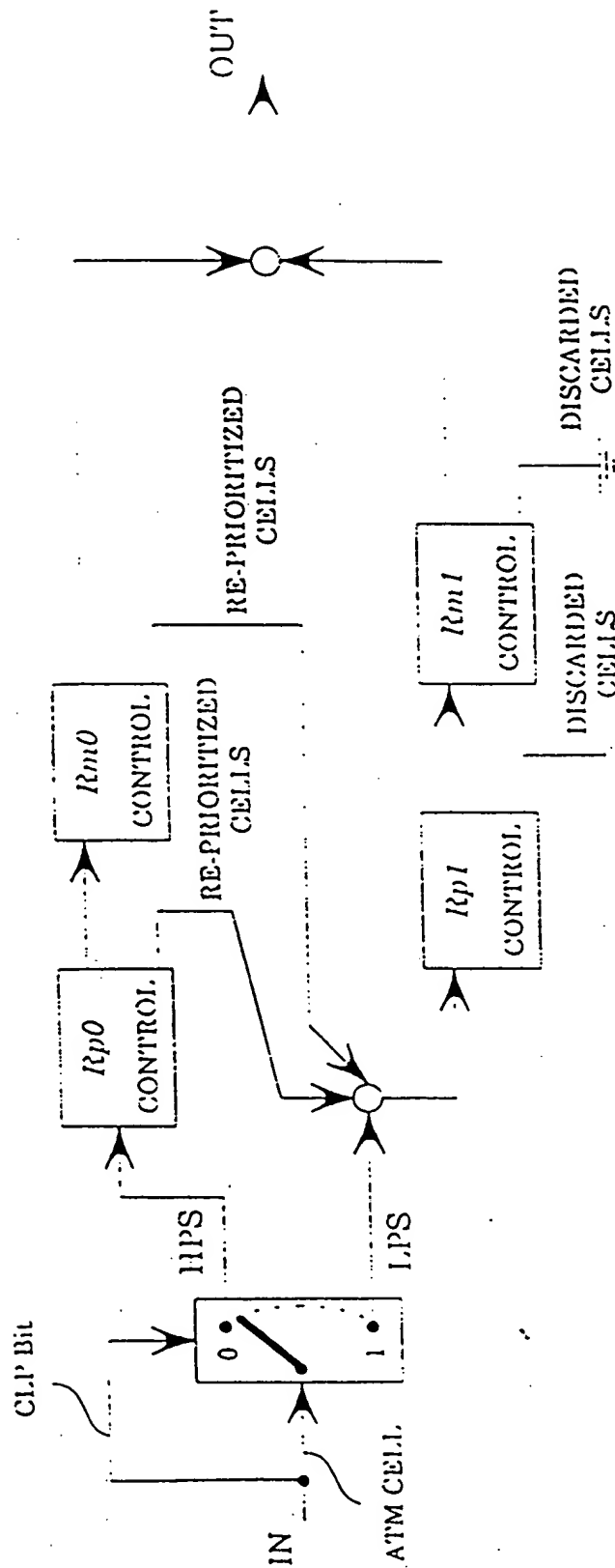


Fig. 4

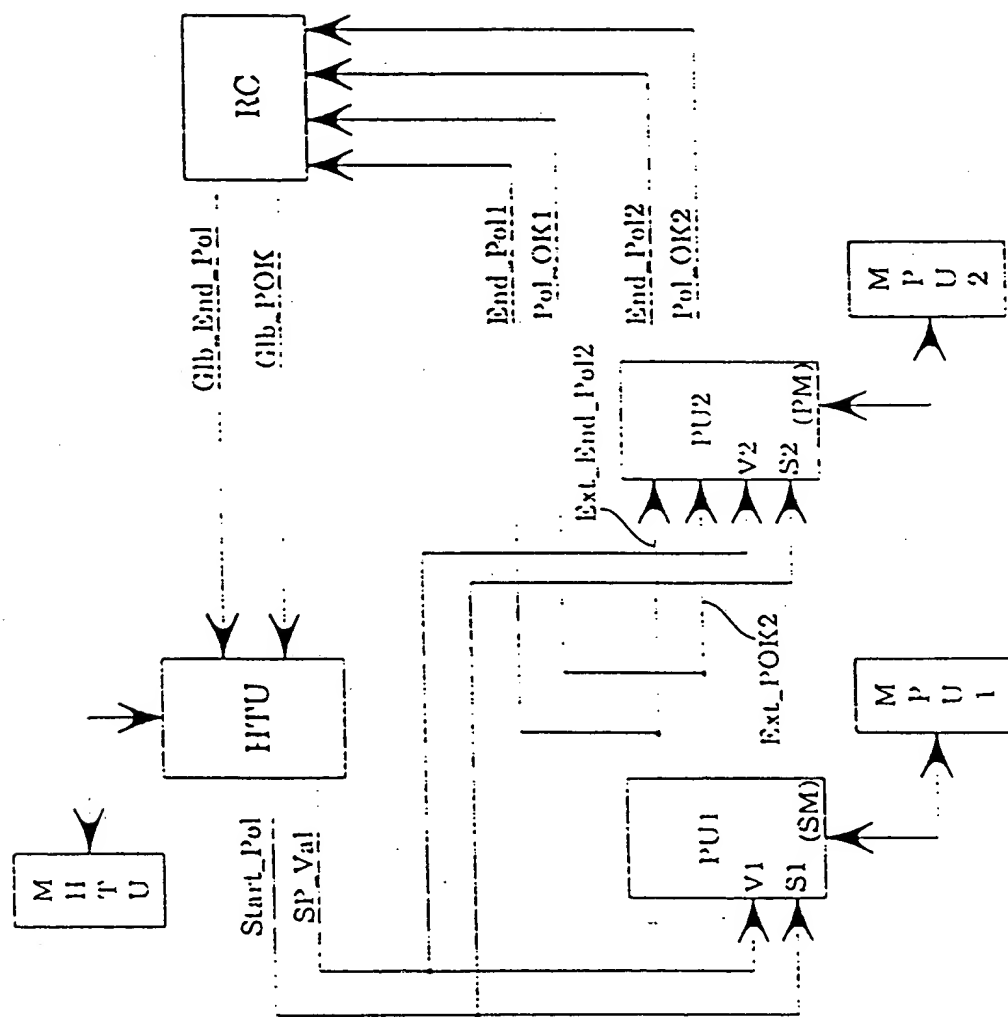


Fig. 5

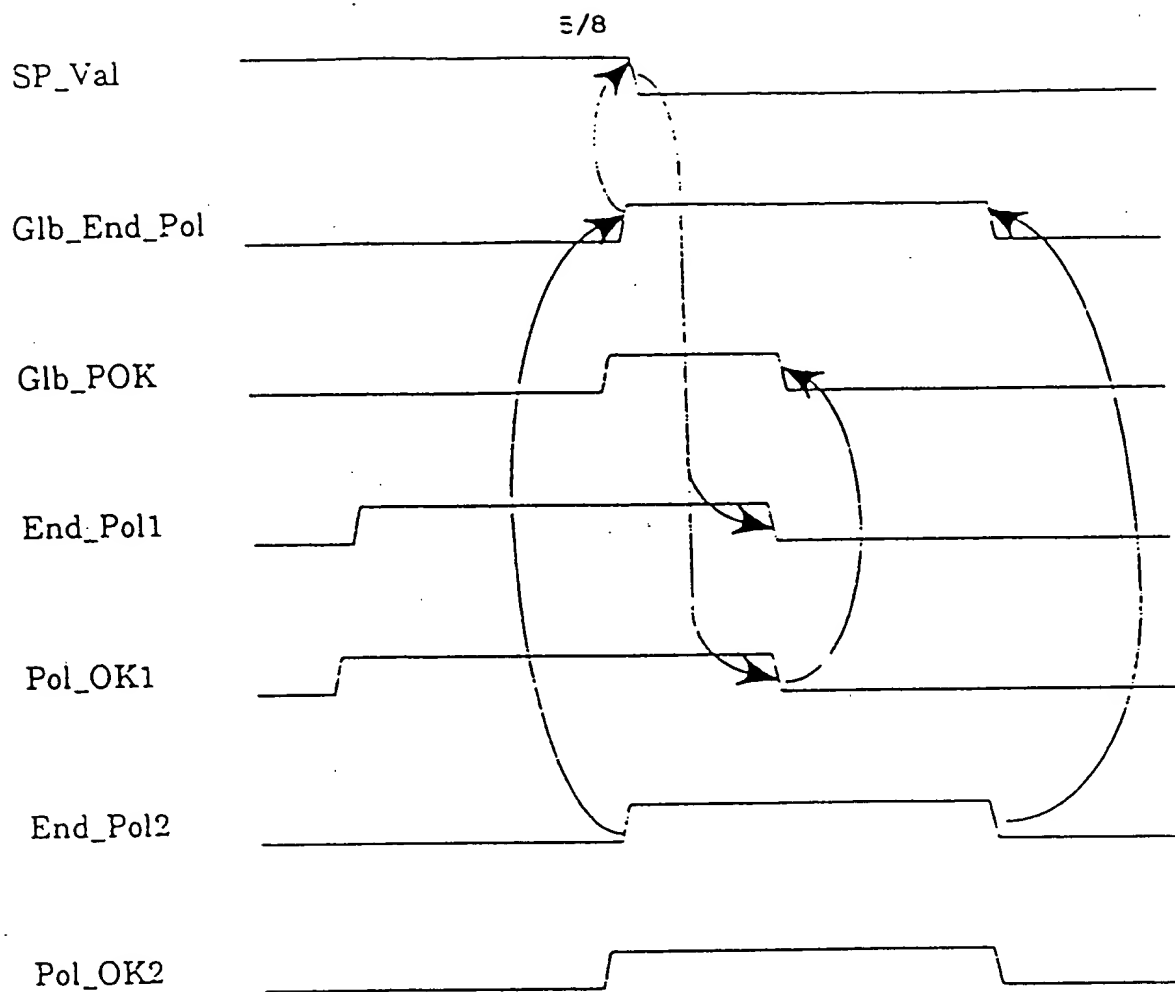


Fig. 6a

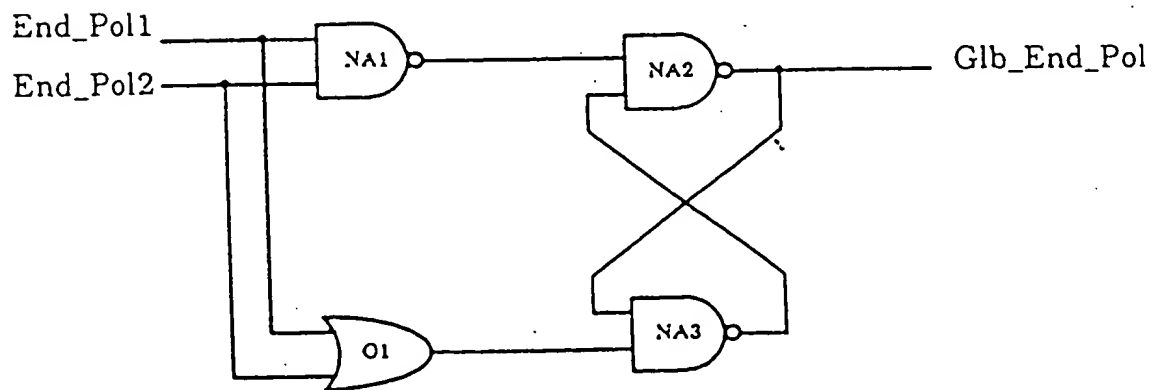


Fig. 6b

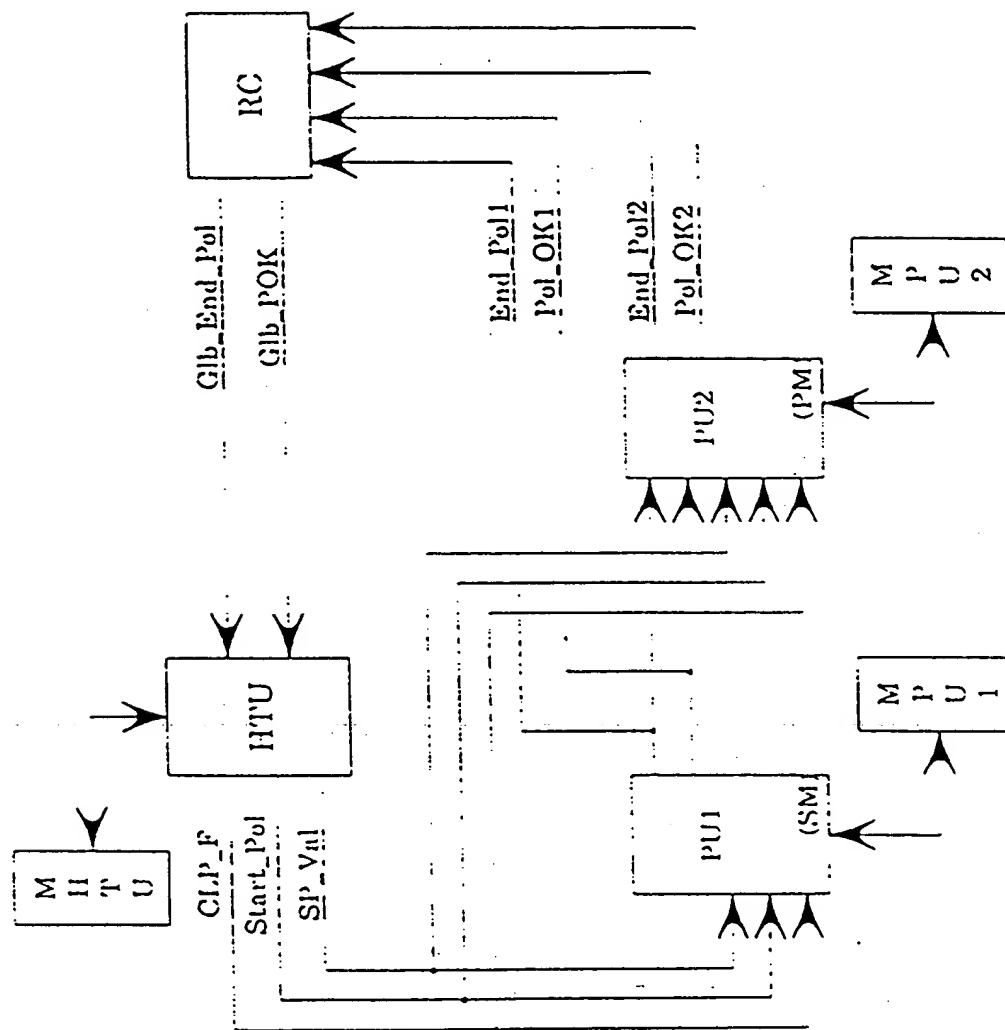


Fig. 7

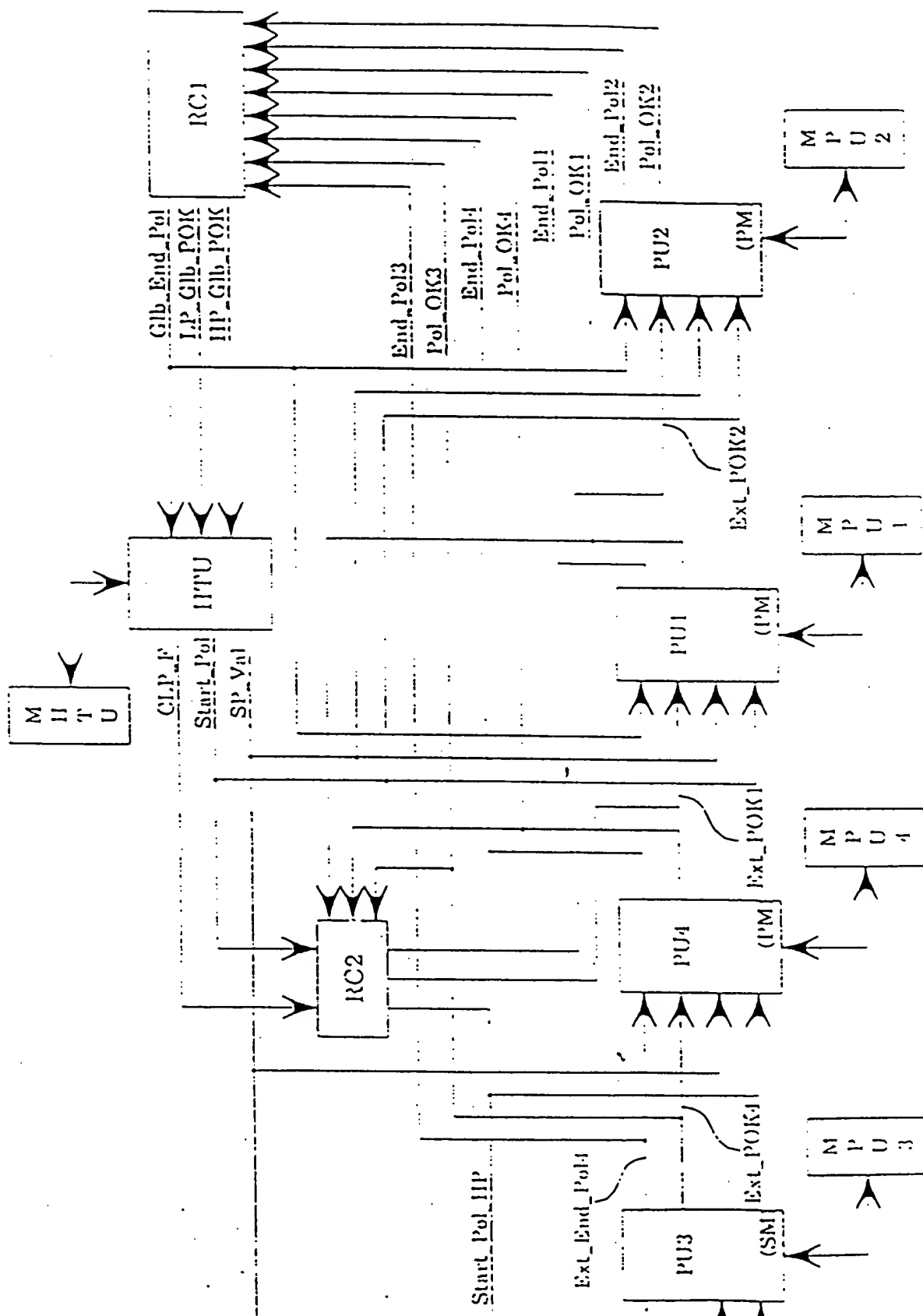


Fig. 8

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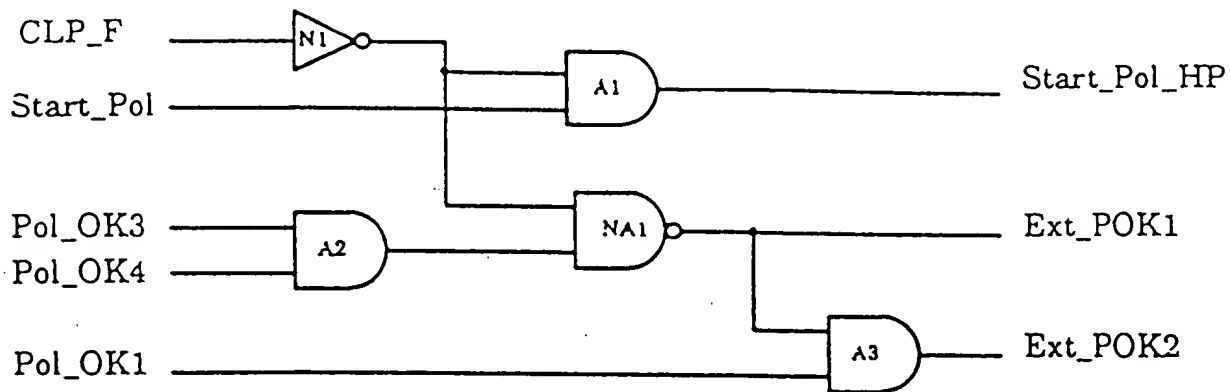


Fig. 9

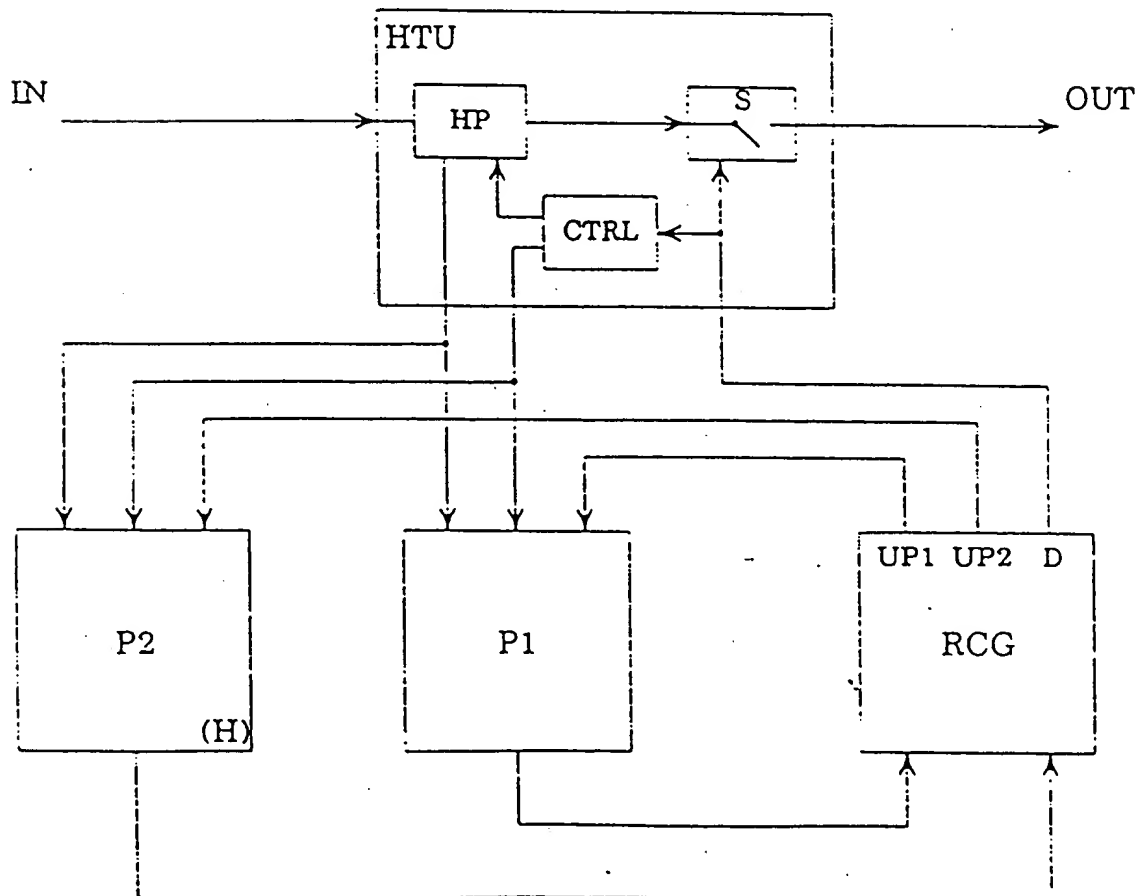


Fig. 10

INTERNATIONAL SEARCH REPORT

International Application No

PCT/EP 92/02652

I. CLASSIFICATION OF SUBJECT MATTER (if several classification symbols apply, indicate all)⁶

According to International Patent Classification (IPC) or to both National Classification and IPC

Int.Cl. 5 H04L12/56

II. FIELDS SEARCHED

Minimum Documentation Searched⁷

Classification System

Classification Symbols

Int.Cl. 5

H04L

Documentation Searched other than Minimum Documentation
to the Extent that such Documents are Included in the Fields Searched⁸

III. DOCUMENTS CONSIDERED TO BE RELEVANT⁹

Category ¹⁰	Citation of Document, ¹¹ with indication, where appropriate, of the relevant passages ¹²	Relevant to Claim No. ¹³
X	EP,A,0 416 685 (KONINKLIJKE PTT NEDERLAND) 13 March 1991 see column 4, line 29 - column 5, line 51	1
A	---	2-9
A	INTERNATIONAL JOURNAL OF DIGITAL AND ANALOG COMMUNICATION SYSTEMS vol. 3, 1990, NEW YORK, US pages 187 - 197, XP255806 G.NIESTEGGE 'THE LEAKY BUCKET POLICING METHOD IN THE ATM (ASYNCHRONOUS TRANSFER MODE) NETWORK' see paragraph 4.1 ---	1-9
	-/--	

* Special categories of cited documents : ¹⁰

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- "T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention
- "X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step
- "Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art.
- "&" document member of the same patent family

IV. CERTIFICATION

Date of the Actual Completion of the International Search

14 APRIL 1993

Date of Mailing of this International Search Report

20. 04. 93

International Searching Authority

Signature of Authorized Officer

III. DOCUMENTS CONSIDERED TO BE RELEVANT

(CONTINUED FROM THE SECOND SHEET)

Category *	Citation of Document, with indication, where appropriate, of the relevant passages	Relevant to Claim No.
P, X	EP, A, 0 472 408 (TOSHIBA) 26 February 1992 see page 7, line 22 - line 38 see page 17, line 35 - page 19, line 54 see page 20, line 42 - line 57 see figure 16	1

ANNEX TO THE INTERNATIONAL SEARCH REPORT
ON INTERNATIONAL PATENT APPLICATION NO.

EP 9202652
SA 68401

This annex lists the patent family members relating to the patent documents cited in the above-mentioned international search report.
The members are as contained in the European Patent Office EDP file on
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Patent document cited in search report	Publication date	Patent family member(s)	Publication date
EP-A-0416685	13-03-91	NL-A- 8902226	02-04-91
		CA-A- 2024583	06-03-91
		JP-A- 3149934	26-06-91
EP-A-0472408	26-02-92	JP-A- 4100343	02-04-92
		JP-A- 4100451	02-04-92



- 1 -

H04L12/56D1

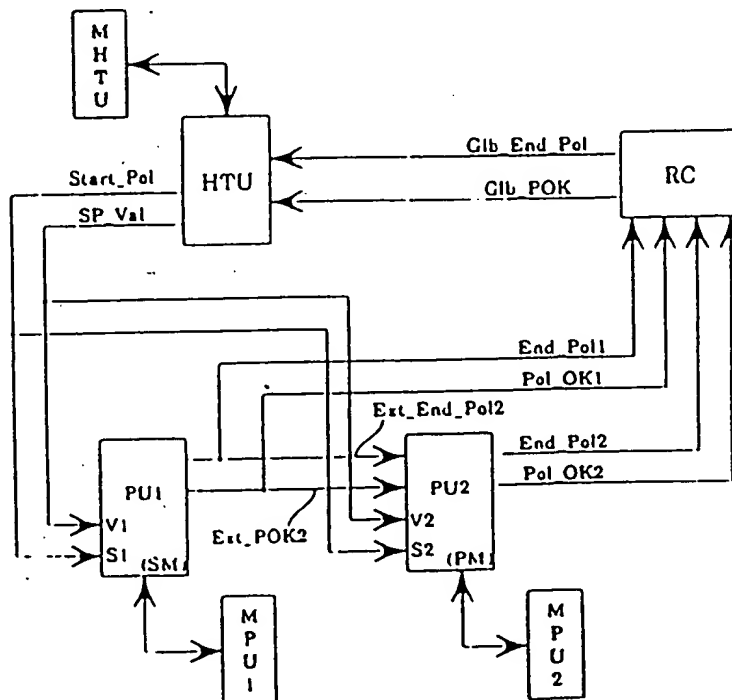
UNDER THE PATENT COOPERATION TREATY (PCT)

(51) International Patent Classification 5: H04L 12/56		(11) International Publication Number: WO 93/11622
A1		(43) International Publication Date: 10 June 1993 (10.06.93)
(21) International Application Number: PCT/EP92/02652		(74) Agent: GIUSTINI, Delio; Italtel Società Italiana Telecomunicazioni S.p.A., P.O. Box 10, I-20019 Settimo Milanese (IT).
(22) International Filing Date: 19 November 1992 (19.11.92)		(81) Designated States: US, European patent (AT, BE, CH, DE, DK, ES, FR, GB, GR, IE, IT, LU, MC, NL, SE).
(30) Priority data: MI91A003191 28 November 1991 (28.11.91) IT		Published With international search report. With amended claims and statement
(71) Applicant (for all designated States except US): ITALTEL SOCIETA' ITALIANA TELECOMUNICAZIONI S.P.A. [IT/IT]; Piazzale Zavattari, 12, I-20149 Milan (IT).		Date of publication of the amended claims and statement: 8 July 1993 (08.07.93)
(72) Inventors; and (75) Inventors/Applicants (for US only): BALBONI, Gianpaolo [IT/IT]; Via Principe Tommaso, 42, I-10125 Torino (IT). COLLIVIGNARELLI, Marco [IT/IT]; Via Verdi, 2, I-28040 Dormelletto (IT). CONIGLIARO, Salvatore [IT/IT]; Via per Canegrate, 31-B, I-20025 Legnano (IT).		

(54) Title: DISPOSITION OF A PLURALITY OF POLICING UNITS FOR THE POLICING OF CELL FLOW TRAFFIC PARAMETERS IN AN ATM SWITCHING NETWORK, AND RELATING METHOD

(57) Abstract

Arrangement and method for the controlling of the traffic parameters of the ATM cell flow according to the so-called "Leaky Bucket" principle in an exchange termination (ET) including a header processing and translation unit (HTU). A plurality of policing units (PU1, PU2, PU3, PU4), for the control of the peak (Rp) and mean (Rm) bit rate by discriminating also among the cells pertaining to the higher priority flow (CLP=0) and the one pertaining to the lower priority flow (CLP=1), are provided. The units are activated in parallel by the said header processing and translation unit (HTU) and connected to a combinatorial network (RC). In the case of violation of the negotiated parameters, the higher priority cell may be declassified and treated like the other lower priority ones, or may be discarded. In the units, the updating is performed in the next controlling cycle of the cell relating to the same virtual channel/virtual path.



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